



J007 Rec'd PCT/PTO 29 JAN 2002

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

7

Application Number

09/937,110

Filing Date

SEPTEMBER 19, 2001

First Named Inventor

Thomas BOREN et al.

Group Art Unit

To Be Assigned

Examiner Name

To Be Assigned

Attorney Docket No.

514862000100

### ENCLOSURES (check all that apply)

Fee Transmittal Form - 1 pg IN  
DUPL

Assignment Papers  
(for an Application)

After Allowance Communication to  
Group

Fee Attached

Drawing(s)

Appeal Communication to Board of  
Appeals and Interferences

Amendment / Reply

Licensing-related Papers

Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

After Final

Petition

Proprietary Information

Affidavits/declarations

Petition to Convert to a  
Provisional Application

Status Letter

Extension of Time Request

Power of Attorney, Revocation  
Change of Correspondence Address

Other Enclosure(s) (please identify  
below): RETURN RECEIPT POSTCARD

Express Abandonment Request

Terminal Disclaimer

1. DECLARATION (signed) - 2  
pgs

Information Disclosure Statement

Request for Refund

2. Copy of NOTICE OF  
MISSING REQUIREMENTS (as  
required) - 2 pgs

Certified Copy of Priority Document(s)

CD, Number of CD(s) \_\_\_\_\_

Remarks

Response to Missing Parts/  
Incomplete Application  
SEE "OTHER" FOR ATTACHED  
DOCUMENTS

OR

Response to Missing Parts  
under 37 CFR 1.52 or 1.53

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm  
or  
Individual Name

MORRISON & FOERSTER LLP

Michael R. Ward, Ph.D. (Reg. No. 38,651)

425 Market Street, San Francisco, CA 94105-2842

Signature

Date

JANUARY 8, 2002

### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 8, 2002.

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O I P T M  
JAN 29 2002  
PATENT & TRADEMARK OFFICE  
FEE TRANSMITTAL  
FOR FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$65.00) Attorney Docket No. 514862000100

## Complete if Known

Application Number	09/937,110
Filing Date	SEPTEMBER 19, 2001
First Named Inventor	Thomas BOREN, et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952

Deposit Account Name Morrison &amp; Foerster LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed: Check  Credit Card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1) (\$)				-0-	

## 2. EXTRA CLAIM FEES

Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3** =	x	= \$	
Multiple Dependent		x	= \$	
02/05/2002 MNGUYEN 0000009 031952 09937110				
04-PC:25	Small Fee Code	65.00	Fee Description	
Fee Code	Fee Code	Fee		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue Independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				-0-

\*\* or number previously paid, if greater; For reissues, see above.

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$65.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael R. Ward	Registration No. (Attorney/Agent)	(38,651)	Telephone	(415) 268-6237
Signature	Michael R. Ward			Date	January 8, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

 <small>Commissioner for Patents, One PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
<b>RECEIVED BY</b> <b>NOV 14 2001</b> <b>MORRISON &amp; FOERSTER</b>		

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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09/937,110

Thomas Boren

514862000100

INTERNATIONAL APPLICATION NO.

PCT/SE00/00514

I.A. FILING DATE	PRIORITY DATE
03/16/2000	03/19/1999

Michael R Ward  
 Morrison & Foerster  
 425 Market Street  
 San Francisco, CA 94105-2482



**CONFIRMATION NO. 4682**  
**371 FORMALITIES LETTER**



\*OC000000007048312\*

Date Mailed: 11/09/2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

25 TO MISSING I.P.A. - LT

**DOCKETED**

*[Signature]*  
11/9/02

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

MAMIE P PERSON

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Telephone: (703) 305-3737

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,110	PCT/SE00/00514	514862000100

## RECEIVER

FEB - 4 2002

PROCESSES

09/93710  
PROCESSING

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Morrison & Foerster LLP

425 Market Street  
San Francisco, CA 94105-2482

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JAN 29 2002

ASSISTANT COMMISSIONER  
FOR PATENTS  
Washington, D.C. 20231

BOX: MISSING PARTS  
GROUP ART UNIT:  
MRW1/8693 514861 2000.00



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